

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	JIA ET AL	}	EXAMINER:
SERIAL NO.:	10/		ART UNIT:
FILED:	SEPTEMBER 9, 2003		CONFIRMATION NO.:
TITLE:	POLYCOSANOLS FROM ERICERUS PELA WAX		

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Applicant calls the Examiner's attention to the patents and publications listed on the attached Form PTO-1449, copies enclosed, which may be material to examination of the above identified application.

TIME OF TRANSMITTAL

This Information Disclosure Statement is being filed under 37 CFR § 1.97(b). This Statement is filed within at least one of the following time periods:

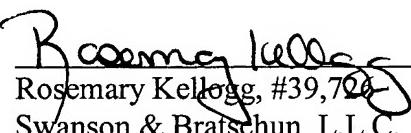
- (a) within three months of the filing date of a national application (other than a CPA under 37 CFR § 1.53(d));
- (b) within three months of the date of entry of the national stage as set forth in 37 CFR § 1.491 in an international application;
- (c) before the mailing of a first Office Action on the merits; or
- (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR § 1.114.

No fee is believed to be due in this instance. However, the undersigned hereby authorizes the charging of any fees created by the filing of this document to Deposit Account No. 19-5117.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. This listed patents and publications are believed of interest herein and consideration and citation of as interest by Examiner is respectfully requested.

Respectfully submitted,

Date: September 9, 2003

  
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FORM PTO-1449  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  List of Information Cited by Applicant  Page 1 of 3	ATTY. DOCKET NO. UNI.24/D	SERIAL NO. 10/
	APPLICANT  <i>JIA et al.</i>	
	FILING DATE SEPTEMBER 9, 2003	GROUP

U.S. PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
	AA	4,714,791	12-22-1987	Inada <i>et al.</i>			
	AB	5,159,124	10-27-1992	Bertholet			
	AC	5,856,316	01-05-1999	Laguna Granja <i>et al.</i>			
	AD	6,225,354	05-01-2001	Perez			
	AE	6,235,795	05-22-2001	Hernandez <i>et al.</i>			
	AF	6,465,526	10-15-2002	Hernandez <i>et al.</i>			
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
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	AN						
	AO						
	AP						
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FOREIGN PATENT DOCUMENTS						
EXAM. INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLS	SUB CLS
	AR	WO 99/48853	09-30-1999	PCT		
	AS					
	AT					
	AU					
	AV					
	AW					
	AX					

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
	AY	Agarwal and Baslas (Sept.-Oct. 1981) Indian J. Pharma. Sci. 43:182-183
	AZ	Ali <i>et al.</i> (1979) Egypt J. Pharm. Sci. 18:93-99
	AAA	Arai <i>et al.</i> (1982) J. Pharm. Soc. Jp. 102:1089-1091
	AAB	Awad <i>et al.</i> (1993) Fitoterapia 64:553
	AAC	Banerji and Nigam (Oct. 1980) J. Indian Chem. Soc. 57:1043-1044

EXAMINER \_\_\_\_\_ DATE CONSIDERED \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. UNI.24/D	SERIAL NO. 10/
List of Information Cited by Applicant Page 2 of 3		APPLICANT JIA <i>et al.</i>	
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U.S. PATENT DOCUMENTS							
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	BA						
	BB						
	BC						

FOREIGN PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLS	SUB CLS	TRANS ?
	BD						
	BE						
	BF						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
BG	Banerji and Nigam (1981) Fitoterapia <u>52</u> :3-4	
BH	Baslas and Agarwal (March-April 1980) Indian J. Pharm. Sci. <u>42</u> :66-67	
BI	Behari and Gupta (1980) Acta Ciencia Indica <u>6</u> :226-228	
BJ	Brondze <i>et al.</i> (Nov.-Dec. 1983) J. Nat. Prod. <u>46</u> :940-941	
BK	Dobhal <i>et al.</i> (1988) Fitoterapia <u>59</u> :155	
BL	Hashimoto and Kitaoka (1983) Appl. Ent. Zool. <u>17</u> :453-459	
BM	Ismail <i>et al.</i> (1984) Fitoterapia <u>55</u> :110-112	
BN	Joshi <i>et al.</i> (1987) Herba Pol. <u>33</u> :71-74	
BO	Kang and Kim (1987) Arch Pharm. Res. <u>10</u> :67-68	
BP	Komatsu <i>et al.</i> (1982) Yakugaku Zasshi <u>102</u> :499-502	
BQ	Krzaczek <i>et al.</i> (1988) Acta Soc. Bot. Pol. <u>57</u> :85-92	
BR	Kumar and Srimannarayana (Sept.-Oct. 1981) J. Nat. Prod. <u>44</u> :625-628	
BS	Makhsudova (March-April 1979) Chem. Nat. Compd. <u>15</u> :186	
BT	Nazir <i>et al.</i> (1993) Xeischrift fur Naturforschung. Section C Biosciences <u>48</u> :5-9	
BU	Nagata <i>et al.</i> (1994) Breeding Sci. <u>44</u> :427-429	
BV	Olaniyi <i>et al.</i> (1975) Planta Medica <u>28</u> :186-189	
BW	Oskay and Yesilada (1984) J. Nat. Prod. <u>47</u> :742	
BX	Pinto and Bento (Oct.-Dec. 1986) Rev Soc Bras Med Trop <u>19</u> :243-5	
BY	Prakash and Banerji (1979) Fitoterapia <u>50</u> :265-266	
BZ	Rizk <i>et al.</i> (1980) Fitoterapia <u>51</u> :223-228	

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	<b>FILING DATE</b>  <b>SEPTEMBER 9, 2003</b>	<b>GROUP</b>  <b>1</b>

U.S. PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
	CA						
	CB						
	CC						

FOREIGN PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLS	SUB CLS	TRANS ?
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	CE						
	CF						

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